



IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Application Of:) Group Art Unit: 1636
Shibin ZHOU) BOX: MISSING PARTS
Serial No.: 09/776,696)) Docket No. 01107.00087
Filed: February 6, 2001) DOCKET NO. 01107.00087
For: HUMAN FAST-1 GENE)

RESPONSE TO NOTICE TO FILE MISSING PARTS OF NONPROVISIONAL APPLICATION UNDER 37 C.F.R. § 1.53(b)

Assistant Commissioner of Patents

Washington, D.C. 20231 Attn: Box Missing Parts

Dear Sir:

In response to the Notice to File Missing Parts Of Non-provisional Application, dated September 5, 2001, the Applicant is hereby submitting a Request to Use Computer Readable Form and corrected drawings.

The Commissioner is authorized to charge \$750.00 to Deposit Account No. 19-0733, to cover the following:

\$370.00 - Basic Filing Fee;

\$ 63.00 - 7 total claims over 20;

\$252.00 - 6 independent claims over 3;

\$ 65.00 - Surcharge for late declaration.

U.S. Application No. 09/776,696

It is believed that these fees as calculated are correct. However, if an additional fee is required, please charge Deposit Account No. 19-0733.

Respectfully submitted,

Registration No. 32,141

October 1b, 2001

Banner & Witcoff, LTD. Eleventh Floor 1001 G Street, N.W. Washington, D.C. 2001 (202) 508-9100 TOTAL AMOUNT OF PAYMENT

TRANSMITTAL	Applica
(a) for FY 2002	Filing D
OCT 7 6 7001 Pairs fees are subject to annual revision.	First Na
Pater fees are subject to annual revision.	Examin

750.00

Complete if Known					
Application Number	09/776,696				
Filing Date	February 6, 2001				
First Named Inventor	Shibin ZHOU				
Examiner Name	ТВА				
Group / Art Unit	1636				
Attorney Docket No.	001107.00087				

	" -	METHO	D OF F	AYMENT (check one)				FEE C	ALCULATION (continued)	
The Commissioner is hereby authorized to charge			3. AD	3. ADDITIONAL FEES							
1. 🖾 in		indica	indicated fees and credit any over payments to:				Large		Small		
						Fee	Entity Fee	Fee	Entity Fee		Fee
Depo Accou		19-07	33			Code	(\$)	Code	(\$)	Fee Description	Paid
Numb		13-07	00			105	130	205	65	Surcharge - late filing fee or oath	65.00
Depo	cit					127	50	227	25	Surcharge - late provisional filing fee or cover sheet.	
Accol		Banne	er & Wit	coff		139	130	139	130	Non-English specification	
Name		L				147	2,520	147	2,520	For filing a request for reexamination	
\	Jnder 37	y Additior CFR 1.16	and 1.1	17		112	920*	112	920*	Requesting publication of SIR prior to Examiner action	
	See 37 C			y status.		113	1,840*	113	1,840*	Requesting publication of SIR after Examiner action	
2.	Payme	nt Enclos	ed:			115	110	215	55	Extension for reply within first month	
	Check	☐ Cre	dit card	☐ Money Order	☐ Other	116	400	216	200	Extension for reply within second month	
						117	920	217	460	Extension for reply within third month	
1. B	ASIC FI	LING FEI		ALCULATION		118	1,440	218	720	Extension for reply within fourth month	
Large	Entity		Entity			128	1,960	228	980	Extension for reply within fifth month	
Fee	•		Fee	Fee Description		119	320	219	160	Notice of Appeal	
Code	(\$)	Code	(\$)	·	Fee Paid	120	320	220	160	Filing a brief in support of an appeal	
101	740	201	370	Utility filing fee	370.00	121	280	221	140	Request for oral hearing	
106 107			165 255	Design filing fee Plant filing fee		138	1,510	138	1,510	Petition to institute a public use proceeding	
108	740	208	370	Reissue filing fee		140	110	240	55	Petition to revive – unavoidable	
114	160	214	80	Provisional filling fee	·	141	1,280	241	640	Petition to revive – unintentional	
				•		142	1,280	242	640	Utility issue fee (or reissue)	
		SU	BTOTA	L (1)	(\$) 370.00	143	460	243	230	Design issue fee	
2. EXTR	A CL AII	4 EEES				144	620	244	310	Plant issue fee	
Z. EXIK	A CLAII	n FEE3		Extra Fee fr	om Fee	122	130	122	130	Petitions to the Commissioner	
•				Claims below	Paid	123	50	123	50	Processing fee under 37 CFR 1.17 (q)	
Total Claim	. =	-20		7 X 9		126	180	126	180	Submission of Information Disclosure Stmt	
Claims Multiple	9	-3 '	** =	6 X 42	= 252	581	40	581	40	Recording each patent assignment per property (times number of properties)	
Dependent					لـــا لــ	146	740	246	370	Filing a submission after final rejection	$\vdash \vdash \vdash \vdash \vdash$
Large Fee	Entity Fee	Small Fee	Entit Fee	•						(37 ČFR § 1.129(a))	
Code	(\$)	Code	(\$)	Fee Description		149	740	249	370	For each additional invention to be examined (37 CFR § 1.129(b))	i
103	18	203	9	Claims in excess of 20						, , , , , , , , , , , , , , , , , , , ,	
102	84	202	42	Independent claims in excess of 3		179	740	279	370	Request for Continued Examination (RCE)	
104	280	204	140	- receptors of the second		169	900	169	900	Request for expedited examination	
109	84	209	42	** Reissue indeper original patent	ndent claims over	of a design application					
110	18	210	9	** Reissue claims over original pater	n excess of 20 and t						
			s	UBTOTAL (2) (\$)	315.00	Other fee (specify)					
**or numb		uelu poid i	if aroata	For Reissues, see abo		*Redu	ced by Ba	sic Filin	g Fee Pa	aid SUBTOTAL (3) (\$) 65.0	00

SUBMITTED BY		Complete (if applicable)				
Name (Print/Type)	Secah A. Kagan	Registration No. Attorney/Agent)	32,141	Telephone	202-508-9100	
Signature	Malah	Illaz		Date	October 16, 2001	

WARNING: Information on this form may become public. Credit card Information should not be Included on this form. Provide credit card Information and authorization on PTO-2038.

Burden Hour Statement: This form is estimated to take 0.2 hours to complete. Time will vary depending upon the needs of the individual case. Any comments on the amount of time you are required to complete this form should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, Washington, DC 20231.

DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Assistant Commissioner for Patents, Washington, DC 20231.



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UNITED STATES PATENT AND TRADEMARK OFFICE

COMMISSIONER FOR PATENTS
UNITED STATES PATENT AND TRADEMARK OFFICE
WASHINGTON, D.C. 2023I
www.usdto.gov

APPLICATION NUMBER

FILING/RECEIPT DATE

FIRST NAMED APPLICANT
Shibin Zhou

ATTORNEY DOCKET NUMBER
01107.00087

09/776,696

BANNER & WITCOFF 1001 G STREET N W SUITE 1100 WASHINGTON, DC 20001



CONFIRMATION NO. 5642

FORMALITIES LETTER

OC000000006513112

Date Mailed: 09/05/2001

NOTICE TO FILE MISSING PARTS OF NONPROVISIONAL APPLICATION

FILED UNDER 37 CFR 1.53(b)

Filing Date Granted

An application number and filing date have been accorded to this application. The item(s) indicated below, however, are missing. Applicant is given **TWO MONTHS** from the date of this Notice within which to file all required items and pay any fees required below to avoid abandonment. Extensions of time may be obtained by filing a petition accompanied by the extension fee under the provisions of 37 CFR 1.136(a).

- The statutory basic filing fee is missing.
 Applicant must submit \$ 355 to complete the basic filing fee and/or file a small entity statement claiming such status (37 CFR 1.27).
- Total additional claim fee(s) for this application is \$303.
 - \$63 for 7 total claims over 20.
 - \$240 for 6 independent claims over 3.
- To avoid abandonment, a late filing fee or oath or declaration surcharge as set forth in 37 CFR 1.16(e) of \$65 for a small entity in compliance with 37 CFR 1.27, must be submitted with the missing items identified in this letter.
- The balance due by applicant is \$ 723.

The application is informal since it does not comply with the regulations for the reason(s) indicated below.

The required item(s) identified below must be timely submitted to avoid abandonment:

- Substitute drawings in compliance with 37 CFR 1.84 because:
 - drawing sheets do not have the appropriate margin(s) (see 37 CFR 1.84(g)). Each sheet must include a top margin of at least 2.5 cm. (1 inch), a left side margin of at least 2.5 cm. (1 inch), a right side margin of at least 1.5 cm. (5/8 inch), and a bottom margin of at least 1.0 cm. (3/8 inch);
- The paper or compact disc copy of the "Sequence Listing" is not the same as the computer readable form
 of the "Sequence Listing" as required by 37 CFR 1.821(e). Applicant must provide a substitute paper or
 compact disc copy of the "Sequence Listing", as well as an amendment directing its entry into the

application OR a substitute computer readable form (CRF) copy of the "Sequence Listing". These two items must be the same. Applicant must also provide a statement that the content of the sequence listing information recorded in computer readable form is identical to the written (on paper or compact disc) sequence listing and, where applicable, includes no new matter, as required by 37 CFR 1.821(e), 1.821(f), 1.821(g), 1.825(b), or 1.825(d). If the effective filing date is on or after September 8, 2000, see the final rulemaking notice published in the Federal Register at 65 FR 54604 (September 8, 2000) and 1238 OG 145 (September 19, 2000).

For questions regarding compliance to these requirements, please contact:

- For Rules Interpretation, call (703) 308-4216
- To Purchase Patentin Software, call (703) 306-2600
- For Patentin Software Program Help, call (703) 306-4119 or e-mail at patin21help@uspto.gov or patin3help@uspto.gov

A copy of this notice <u>MUST</u> be returned with the reply.

Customer Service Center

Initial Patent Examination Division (703) 308-1202

PART 2 - COPY TO BE RETURNED WITH RESPONSE